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Substitute for Form 1449/A/PTO

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Sheet	1
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of 2

Complete if Known

Application Number	09/980,421
Filing Date	November 30,2001
First Named Inventor	JOHN D. PUSKAS
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	45044-267442(16294-0141)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
DDG		5,117,828		Metzger, et al.	06/02/1992	
		5,156,151		Imran	10/20/1992	
		5,205,285		Baker, Jr.	04/27/1993	
		5,282,468		Klepinski	02/01/1994	
		5,304,120		Crandell, et al.	04/19/1994	
		5,304,206		Baker, Jr., et al.	04/19/1994	
		5,365,926		Desai	11/22/1994	
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		5,690,681		Geddes, et al.	11/25/1997	
		5,840,076		Swanson, et al.	11/24/1998	
		5,889,033		Kaminski	03/30/1999	
		5,913,876		Taylor, et al.	06/22/1999	
		6,043,273		Duhaylongsod	03/08/2000	
		6,060,454		Duhaylongsod	05/09/2000	
		6,087,394		Duhaylongsod	07/11/2000	
		6,101,412		Duhaylongsod	08/08/2000	
		6,127,410		Duhaylongsod	10/03/2000	
		6,141,589		Duhaylongsod	10/31/2000	

FOREIGN PATENT DOCUMENTS

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TECHNOLOGY CENTER R3700

**Examiner
Signature**

Hana D. Greene

Date
Considered

10-31-05

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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PTO/SB/08B (08-00)

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		Application Number	09/980,421
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	November 30, 2001
		First Named Inventor	JOHN D. PUSKAS
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
		Attorney Docket Number	45044-267442
Sheet	2	of	2

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DJG		Bufkin, et al., "Controlled Intermittent Asystole: Pharmacologic Potentiation of Vagal-Induced Asystole," <i>Ann. Thorac. Surg.</i> , 1998;66: pp. 1185-90	
		DiPiro, et al., "Pharmacotherapy: A Pathophysiologic Approach;" Elsevier, New York; pp. 153-157, (1989)	
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		Taylor, Palmer, "Anticholinesterase Agents" in Goodman and Gilman's <i>The Pharmacological Basis of Therapeutics</i> (6 th Ed.), MacMillan Publishing Co., Inc., New York, pp. 104-108 (1980)	
		Thompson, et al., "Bradycardia Induced by Intravascular Versus Direct Stimulation of the Vagus Nerve," <i>Ann. Thorac. Surg.</i> , 1998;65: 637-42	

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Examiner Signature	<i>Dana D. Keene</i>	Date Considered	10-31-05
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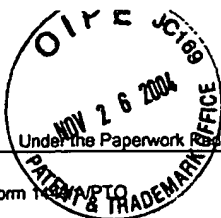
¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/980,421</td> </tr> <tr> <td>Filing Date</td> <td>April 23, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>JOHN D. PUSKAS</td> </tr> <tr> <td>Group Art Unit</td> <td>3762</td> </tr> <tr> <td>Examiner Name</td> <td>Dana D. Greene</td> </tr> <tr> <td>Attorney Docket Number</td> <td>16294-0141 (52433-267442)</td> </tr> </table>		Application Number	09/980,421	Filing Date	April 23, 2002	First Named Inventor	JOHN D. PUSKAS	Group Art Unit	3762	Examiner Name	Dana D. Greene	Attorney Docket Number	16294-0141 (52433-267442)
Application Number	09/980,421														
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First Named Inventor	JOHN D. PUSKAS														
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Examiner Name	Dana D. Greene														
Attorney Docket Number	16294-0141 (52433-267442)														
Sheet	1	of	2												

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		Number	Kind Code ² (if known)			
DDG	1	4,351,330		Scarberry	09-28-1982	
	2	5,263,480		Wernicke	11-23-1993	
	3	5,330,507		Schwartz	07-19-1994	
	4	5,403,356		Hill et al.	04-04-1995	
	5	5,713,924		Min et al.	02-03-1998	
	6	5,713,929		Hess et al.	02-03-1998	
	7	5,782,874		Loos	07-21-1998	
	8	5,791,187		Adams	08-11-1998	
	9	5,846,263		Peterson et al.	12-08-1998	
	10	5,893,882		Peterson et al.	04-13-1999	
	11	6,006,134		Hill et al.	12-21-1999	
	12	6,043,273		Duhaylongsod	03-28-2000	
	13	6,060,454		Duhaylongsod	05-09-2000	
	14	6,087,394		Duhaylongsod	07-11-2000	
	15	6,091,988		Warman et al.	07-18-2000	
	16	6,141,589		Duhaylongsod	10-31-2000	
	17	6,185,459		Mehra et al.	02-06-2001	
	18	6,266,564		Hill et al.	07-24-2001	
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	23	6,487,446		Hill et al.	11-16-2002	
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	26	6,554,781		Carter et al.	04-29-2003	
	27	6,628,987		Hill et al.	09-30-2003	
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	29	2003/0216775		Hill et al.	11-20-2003	
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	31	6,718,208		Hill et al.	04-06-2004	
	32	6,735,471		Hill et al.	05-11-2004	
	33	6,738,667		Deno et al.	05-18-2004	
✓	34	2004/0199209		Hill et al.	10-07-2004	

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.



Substitute for Form 1001 PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
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		Filing Date	April 23, 2002
		First Named Inventor	JOHN D. PUSKAS
		Group Art Unit	3762
		Examiner Name	Dana D. Greene
		Attorney Docket Number	16294-0141 (52433-267442)
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OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS			
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DDG	35	Hageman, G.R., <i>et al.</i> , "Direct and Reflex Cardiac Bradycardias From Small Vagal Nerve Stimulations," <i>Am. Heart J.</i> , Vol. 89, No. 3, pp. 338-348 (1975) (Abstract Only)	
	38	Nobrega, <i>et al.</i> , "Resting and Reflex Heart Rate Responses During Cholinergic Stimulation With Pyridostigmine in Humans," <i>Brazilian J. Med. Biol. Res.</i> , Vol. 29, No. 11, pp. 1461-1465 (1996) (Abstract Only)	
	37	Okazawa, M., <i>et al.</i> , "Effect of Vagal Stimulations and Parenteral Acetylcholine on Canine Trachealis Muscle Shortening," <i>J. Appl. Physiol.</i> , Vol. 75, No. 6, pp. 2463-2468 (1992) (Abstract Only)	
	38	Urthaler, James F., "Experimental Studies on the Pathogenesis of Asystole After Verapamil in the Dog," <i>Am. J. Cardiol.</i> , Vol 44, No. 4, pp.651-656 (1979) (Abstract Only)	

Examiner Signature	<i>Dana D. Greene</i>	Date Considered	<i>10-31-05</i>
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Application Number	09/980,421
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First Named Inventor	JOHN D. PUSKAS
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Attorney Docket Number	16294-0141 (52433-267442)

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

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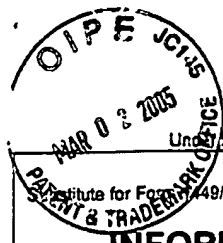
**Examiner
Signature**

Harold D. Greene

**Date
Considered**

10-31-05

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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Sheet 1 of 4

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Application Number	09/980,421
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First Named Inventor	JOHN D. PUSKAS
Group Art Unit	3762
Examiner Name	Dana D. Greene
Attorney Docket Number	16294-0141 (52433-267442)

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
DXG	1	4,304,239		Perlin	12-08-1981	
	2	4,574,807		Hewson et al.	03-11-1986	
	3	4,640,298		Pless et al.	02-03-1987	
	4	4,671,295		Abrams et al.	06-09-1987	
	5	4,722,347		Abrams et al.	02-02-1988	
	6	4,753,244		Landymore et al.	06-28-1988	
	7	4,960,133		Hewson	10-02-1990	
	8	5,014,698		Cohen	05-14-1991	
	9	5,024,228		Goldstone et al.	06-18-1991	
	10	5,044,367		Endres et al.	09-03-1991	
	11	5,052,390		Hewson	10-01-1991	
	12	5,056,519		Vince	10-15-1991	
	13	5,056,532		Hull et al.	10-15-1991	
	14	5,125,406		Goldstone et al.	06-30-1992	
	15	5,127,407		Tan	07-07-1992	
	16	5,129,392		Bardy et al.	07-14-1992	
	17	5,156,149		Hudrlik	10-20-1992	
	18	5,199,428		Obel et al.	04-06-1993	
	19	5,203,326		Collins	04-20-1993	
	20	5,243,980		Mehra	09-14-1993	
	21	5,265,603		Hudrlik	11-30-1993	
	22	5,284,146		Czar et al.	02-08-1994	
	23	5,292,338		Bardy et al.	03-08-1994	
	24	5,334,221		Bardy	08-12-1994	
	25	5,354,318		Taepke	10-11-1994	
	26	5,356,425		Bardy et al.	10-18-1994	
	27	5,411,529		Hudrlik	05-02-1995	
	28	5,417,713		Cohen	05-23-1995	
	29	5,501,703		Holsheimer et al.	03-26-1996	
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	31	5,540,730		Terry, Jr. et al.	07-30-1996	
	32	5,540,732		Testerman	07-30-1996	
	33	5,549,655		Erickson	08-27-1996	
✓	34	5,571,150		Wernicke et al.	11-05-1996	

Examiner Signature	<i>Dana D. Greene</i>	Date Considered	10-31-05
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Application Number

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April 23, 2002

First Named Inventor

JOHN D. PUSKAS

Group Art Unit

3762

Examiner Name

Dana D. Greene

Attorney Docket Number

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U.S. PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ <i>(if known)</i>				
DG	40	DE	2811325			09-27-1979		
	41	EP	0589252			03-03-1994		
	42	WO	92/11064			07-09-1992		
	43	WO	97/40885			11-06-1997		
	44	WO	99/09971			03-04-1999		
	45	WO	99/09973			03-04-1999		
	46	WO	01/00273			01-04-2001		

Examiner Signature	Dana D. Meene	Date Considered	10-31-05
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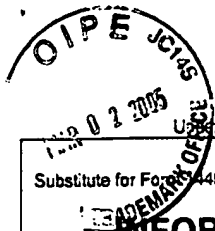
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DDG	47	Annegers, A.F., <i>et al.</i> , "Epilepsy, Vagal Nerve Stimulation by the NCP System, All-Cause Mortality, and Sudden, Unexpected, Unexplained Death," <i>Epilepsia</i> , Vol. 41, No. 5, pp. 549-553 (2000)	
	48	Barwell, J., <i>et al.</i> , "The NIM-2 Nerve Integrity Monitor in Thyroid and Parathyroid Surgery," <i>British Journal of Surgery</i> , Vol. 84, No. 854, p. 854 (1997)	
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	51	Blumel, K.M., <i>et al.</i> , "Parasympathetic Postganglionic Pathways to the Sinoatrial Node," American Physiological Society, pp. H1504-H1510 (1990)	
	52	Braunwald, E., <i>et al.</i> , "Carotid Sinus Nerve Stimulation in the Treatment of Angina Pectoris and Supraventricular Tachycardia," <i>The Western Journal of Medicine</i> , pp. 41-50 (1970)	
	53	Carlson, M. <i>et al.</i> , "Selective Stimulation of Parasympathetic Nerve Fibers to the Human Sinoatrial Node," <i>Circulation</i> , Vol. 85, No. 4, pp. 1311-1317 (1992)	
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	57	George, R., <i>et al.</i> , "Vagus Nerve Stimulation for Treatment of Partial Seizures: 3. Long-Term Follow-Up on First 67 Patients Exiting a Controlled Study," <i>Epilepsia</i> , Vol. 35, No.3, pp. 637-643 (1994)	

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Substitute for Form 448/A/PTO

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First Named Inventor	JOHN D. PUSKAS
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Examiner Name	Dana D. Greene
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Sheet 4 of 4

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DDG	58	Jalife, J. <i>et al.</i> , "Desensitization of the Cholinergic Receptor at the Sinoatrial Cell of the Kitten," <i>American Physiological Society</i> , pp. H439-H448 (1980)	
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	60	Lagi, A., <i>et al.</i> , "Age-Related Changes of Cardiac Parasympathetic Modulation After Vasovagal Syncope," <i>The American Journal of Cardiology</i> , Vol. 83, pp. 977-980 (1999)	
	61	Loeb, J., <i>et al.</i> , "Sensitivity Differences of SA and AV Node to Vagal Stimulation: Attenuation of Vagal Effects at SA Node," <i>American Physiological Society</i> , pp. H684-H690 (1981)	
	62	Maloney, R., <i>et al.</i> , "A New Method for Intraoperative Recurrent Laryngeal Nerve Monitoring," <i>ENT Journal</i> , Vol. 73, No.1, pp. 30-33 (1994)	
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	65	Poller, U., <i>et al.</i> , "Age-Dependent Changes in Cardiac Muscarinic Receptor Function in Healthy Volunteers," <i>Journal of the American College of Cardiology</i> , Vol. 29, No. 1, pp. 187-193 (1997)	
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Examiner
Signature

Dana D. Greene

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¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.